

05-02-05

IFW

April 29, 2005

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re:    Applicant(s):    Nielsen, James T.; et. al.  
      Assignee:        Cardica, Inc.  
      Title:            Surgical System and Method for Connecting Hollow Tissue  
                         Structures  
      Serial No.:       10/720,618  
      Examiner:        Not yet assigned  
      Docket No.:      142

Filed: November 24, 2003  
Group Art Unit: 3721

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

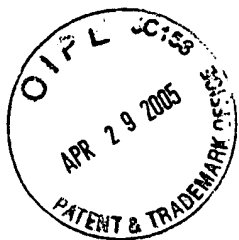
- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449 and 2 references; and
- (4) Return postcard.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

**EXPRESS MAIL SERIAL NO.:**  
**EV430389846US**

Respectfully submitted,

Brian A. Schar, Esq.  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Drive  
Redwood City, CA 94063



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Nielsen, James T.; et. al.  
Assignee: Cardica, Inc.  
Title: Surgical System and Method for Connecting Hollow Tissue Structures  
Serial No.: 10/720,618 Filing Date: November 24, 2003  
Examiner: Not yet assigned Group Art Unit: 3721  
Docket No.: 142

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

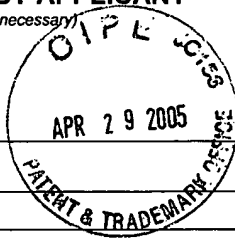
Respectfully submitted,

Brian A. Schar  
Reg. No. 45,076  
Attorney for Cardica, Inc.  
900 Saginaw Drive  
Redwood City, CA 94063

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/720,618
Filing Date	November 24, 2003
First Named Inventor	Nielsen, James T.
Group Art Unit	3721
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 142

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US-4,248,267	02/03/1981	Brandenberg	
	US-4,331,277	05/25/1982	Green	
	US-4,605,001	08/12/1986	Rothfuss, et al.	
	US-4,617,928	10/21/1986	Alfranca	
	US-4,892,098	01/09/1990	Sauer	
	US-4,938,408	07/03/1990	Bedi	
	US-4,951,861	08/28/1990	Schulze, et al.	
	US-5,018,657	05/28/1991	Pedlick, et al.	
	US-5,156,310	10/20/1992	Biedenharn	
	US-5,156,613	10/20/1992	Sawyer	
	US-5,172,845	12/22/1992	Tejeiro	
	US-5,300,065	04/05/1994	Anderson, Dallas W.	
	US-5,364,389	11/15/1994	Anderson, et al.	
	US-5,431,322	07/11/1995	Green, et al.	
	US-5,465,896	11/14/1995	Allen, et al.	
	US-5,478,003	12/26/1995	Green, et al.	
	US-5,540,677	07/30/1996	Sinofsky	
	US-5,611,794	03/18/1997	Sauer, et al.	
	US-5,636,780	06/10/1997	Green	
	US-5,655,698	08/12/1997	Yoon	
	US-5,657,921	08/19/1997	Young, et al.	
	US-5,669,934	09/23/1997	Sawyer	
	US-5,707,369	01/13/1998	Vaitekunas, et al.	
	US-5,711,472	01/27/1998	Bryan	
	US-5,749,895	05/12/1998	Sawyer, et al.	
	US-5,776,130	07/07/1998	Buyse, et al.	
	US-5,824,015	10/20/1998	Sawyer, Philip N.	
	US-5,827,265	10/27/1998	Glinsky, et al.	
	US-5,827,271	10/27/1998	Buyse, et al.	
	US-5,904,697	05/18/1999	Gifford III, et al.	
	US-5,918,791	07/06/1999	Sorrentino, et al.	
	US-6,004,335	12/21/1999	Vaitekunas, et al.	
	US-6,039,733	03/21/2000	Buyse, et al.	
	US-6,436,097	08/20/2002	Nardella	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

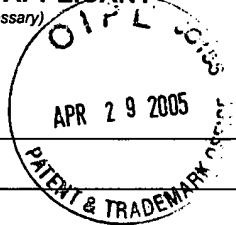
\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



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First Named Inventor	Nielsen, James T.
Group Art Unit	3721
Examiner Name	Unknown

Sheet 2 of 2

Attorney Docket No: 142

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
	EP-1354559A2	05/24/1995			

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"510(k) Notification For the Cardica C-Port Anastomosis System", Section 9, "Substantial Equivalence," and Appendices B, C, E (Unpublished)	

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached